

### Machine Learning and Pattern Recognition : AI3011

# PNEUMONOTHORAM SEGMENTATION OF CHEST RADIOGRAPHS

### **Pneumothorax**

Pneumothorax, also known as a **collapsed lung**, is a condition in which air builds up outside the lung but within the pleural cavity, or space between the lung and chest wall. This can cause pressure on the lung and lead to collapse.

Pneumothorax can be caused by:

- Chest Trauma
- Excess Pressure
- Lung disease, such as Asthma, Chronic Obstructive Pulmonary Disease (COPD), Cystic Fibrosis, Tuberculosis, or Whooping Cough
- Cystic Lung Diseases

! Primary spontaneous pneumothorax mainly occurs at 20-30 years of age. Treatements costing upwards of \$6,000 and 3 Lakh INR.



Normal Lung





Drain/Surgical Emphysema

**Tension Pneumothorax** 



Large Pneumothorax



Large Pneumothorax **Early Tension** 



**Chest Drain** 





Latrogenic Pneumothorax



### **Problem Statement**



FOR IMAGING INFORMATICS IN MEDICINE (SIIM) · FEATURED PREDICTION

### SIIM-ACR Pneumothorax Segmentation

Identify Pneumothorax disease in chest x-rays



**Input (training):** X-Ray Image, Ground Truth Mask **Output (training):** Predicted Mask

Input (test): X-Ray Image **Output (test):** Predicted Mask



### **Applications and Impact**



Pneumothorax may be a life-threatening emergency, and chest radiographs remain the cornerstone of diagnosis. **Even experienced radiologists need to carefully adjust image display settings, such as the window width, window level, and image contrast, to make the correct diagnosis of the disease.** This work requires a large amount of clinical experience and patience.

Sometimes, fatigued doctors will make incorrect judgments. The diagnostic accuracy of pneumothorax highly depends on the **expertise of the attending radiologist**.

Automated methods of pneumothorax detection may allow earlier diagnosis and treatment because the signs of pneumothorax on chest radiographs can be subtle, and up to **20% of occult pneumothoraces are missed at the time of presentation**.

### Literature Review : Competition Leaderboard

#	Δ	Team	Members		Score	Entries	Last	Solution
1	<del>^</del> 205	[dsmlkz] Aimoldin Anuar	۲	0	0.8679	15	5у	
2	<u>~</u> 112	X5		0	0.8665	3	5у	
3	<del>^</del> 16	bestfitting		0	0.8651	7	5у	
4	<del>^</del> 233	[ods.ai] amirassov		0	0.8644	2	5у	
5	<del>^</del> 125	earhian	١	0	0.8643	5	5у	

Rank	Network	Encoder	Techniques	Score
1	U-Net	ResNet (34, 50), SE-ResNext 50	Triplet Scheme Thresholding (Inference), Destructive Augmentation, High Resolution (512 - 1024) Uptraining, Gradient Accumulation	0.8679
2	Deeplabv3+, U-Net	SE-ResNext (50, 101), EfficientNet (B3, B5)	Stochastic Weight Averaging (SWA), Motion-Blurring Augmentation	0.8665
3	U-Net	ResNet-34, SE-ResNext-50	Lung segmentation and CBAM Attention, External Dataset : NIH Chest X- Ray, CheXpert. Montgomery County X-ray	0.8651
4	U-Net	ResNet-34	Frozen Batch-Normalization, Deep Supervision Model	0.8644
5	U-Net	SE-ResNext (50, 101)	ASPP and Semi-Supervision Model,	0.8643

# Pneumothorax Recognition Neural Network Based on Feature Fusion of Frontal and Lateral Chest X-Ray Image

Received April 28, 2022, accepted May 10, 2022, date of publication May 16, 2022, date of current version May 23, 2022. Digital Object Identifier 10.1109/ACCESS.2022.3175312	Architecture Inferences (Developed Solution)	Feature fuses in Residua the vani differen Image-l
JIA XIN LUO <sup>®1</sup> , WU FENG LIU <sup>®2</sup> , AND LIANG YU <sup>®1</sup>	Evaluation Metrics	AUC, Ao
<ul> <li><sup>2</sup>School of Artificial Intelligence and Big Data, Henau University of Technology, Zhengzhou 450001, China</li> <li>Corresponding author: Wu Feng Liu (lwf@haut.edu.cn)</li> <li>This work was supported by the National Natural Science Foundation of China for Young Scholar under Grant 11005136.</li> <li><b>ABSTRACT</b> Pneumothorax is a potentially life-threatening disease that requires urgent diagnosis and treatment. Clinically, a chest X-ray examination is the first choice for diagnosing pneumothorax. However, it is difficult to diagnose pneumothorax by only frontal chest X-ray imaging when the lesion area is only composed of a small amount of air. Therefore, we propose a pneumothorax diagnosis neural network based on feature fusion, where frontal and lateral X-ray information are fused. In this network, there are two inputs and three outputs. The two inputs are the frontal chest X-ray image and the lateral chest X-ray image, and the classification results of the frontal chest X-ray image and lateral chest X-ray image. Our algorithm considers the vanishing gradient problem in the pneumothorax recognition model and introduces the residual block to alleviate this problem. Because of the large number of channels in this model, we also utilize channel attention mechanisms to improve the model's performance. Our comparative experiments show that neural network fusion of frontal and lateral chest image features can achieve higher accuracy than the single task model. Using only image-level annotation, our pneumothorax model can achieve high recognition accuracy.</li> </ul>	Performance Scores (AUC)	Resnet5 Resnet5 Resnet5 Resnet5 Efficien Efficien DenseN DenseN DenseN
<b>INDEX TERMS</b> Convolutional neural network, pneumothorax, chest X-ray images, computer-aided diagnosis, multiple input network.	Limitations	Data Lin annotat Data An annotat

**re Fusion Technique :** Multi-input multi-output neural network that nformation from frontal and lateral chest X-ray images. **Jul block and Channel Attention Mechanism :** Residual block alleviates nishing gradient problem, while channel attention mechanism gives ent weights to different feature maps. **P-level Annotation** 

Accuracy, Recall, Precision, Macro avg, F1–Score, Weighted avg

t50 (frontal branch) -0.956 t50 (lateral branch) - 0.950 **t50 (fused branch) - 0.975** 

ntNet-b4 (frontal branch) - 0.952 ntNet-b4 (lateral branch) - 0.943 entNet-b4 (fused branch) - 0.961

Net-121 (frontal branch) - 0.956 Net-121 (lateral branch) - 0.948 **Net-121 (fused branch) - 0.974** 

**imitations :** Requirement of pairs of image-level annotations (frontal ation + lateral annotation) rather than only front images. **Annotations :** Paired image-level annotations over pixel-level ations.

### Application of Deep Learning Techniques for Detection of Pneumothorax in Chest Radiographs

Article Application of Pneumothorax Lawrence Y. Deng <sup>1</sup> , Xian	Deep Learning Techniques for Detection of in Chest Radiographs g-Yann Lim <sup>2</sup> , Tang-Yun Luo <sup>3</sup> , Ming-Hsun Lee <sup>4</sup> ,* and Tzu-Ching Lin <sup>2</sup> <sup>1</sup> Department of Artificial Intelligence, Tamkang University, Tamsui, New Taipei City 251301, Taiwan, 114722@mail.tku.edu.tw <sup>2</sup> Department of Computer Science and Information Engineering, Tamkang University, Tamsui, New Taipei City 251301, Taiwan; 811415016@0365.tku.edu.tw (XY.L.); 805410056@gms.tku.edu.tw <sup>3</sup> Office of Physical Education, Tamkang University, Tamsui, New Taipei City 251301, Taiwan;	(TC.L.)	Architecture Inferences (Developed Solution)	Model Se include F (FPN) for Training configura iterations at 25k, 40 Augment brightnes
	<ul> <li>159760@mail.tku.edu.tw</li> <li>Department of Radiology, Lotung Poh-Ai Hospital, Yilan 265501, Taiwan</li> <li>* Correspondence: 985003@mail.pohai.org.tw</li> </ul>		Evaluation Metrics	False Pos
check for updates Citation: Deng, L.Y.; Lim, XY.; Luo, TY.; Lee, MH.; Lin, TC. Application of Deep Learning Techniques for Detection of	<b>Abstract:</b> With the advent of Artificial Intelligence (AI) and even more so recently in the Machine Learning (ML), there has been rapid progress across the field. One of the prominent exis image recognition in the medical category, such as X-ray imaging, Computed Tomograph and Magnetic Resonance Imaging (MRI). It has the potential to alleviate a doctor's heavy we of sifting through large quantities of images. Due to the rising attention to lung-related disease as pneumothorax and nodules, ML is being incorporated into the field in the hope of alleviate already strained medical resources. In this study, we proposed a system that can detect pneum diseases reliably. By comparing multiple models and hyperparameter configurations, we record a model for hospitals, as its focus on minimizing false positives aligns with the precision reby medical professionals. Through our cooperation with Poh-Ai Hospital, we acquired a over 8000 X-ray images, with more than 1000 of them from pneumothorax patients. We had over 8000 X-ray images into the automated process of scanning chest X-ray images with diseases, more resources will be available in the already strained medical systems. Our presystem showed that the best model that is used for transfer learning from our dataset per with an AP of 51.57 and an AP75 of 61.40, with accuracy at 93.89%, a false positive of 1.12 a false negative of 4.99%. Based on the feedback from practicing doctors, they are more to a solution of the set model that is used for transfer learning forms out dataset per with an AP of 51.57 and an AP75 of 61.40, with accuracy at 93.89%, a false positive of 1.12 a false negative of 4.99%.	field of famples of (CT), orkload es, such ting the othorax mmend equired total of ope that various oposed formed 1%, and wary of	Performance Scores (AUC)	ResNet50 False Pos False Neg Accuracy ResNeXt False Pos False Neg Accuracy
Pneumothorax in Chest Radiographs. Sensors 2023, 23, 7369. https:// doi.org/10.3390/s23177369 Academic Editors: Stephen D. Prior, Sheng-Joue Young, Liang-Wen Ji, Yi-Hsing Liu and Zi-Hao Wang Received: 30 June 2023 Revised: 21 August 2023 Accepted: 22 August 2023	false positives. For their use case, we recommend another model due to the lower false p rate and higher accuracy compared with other models, which in our test shows a rate of onl and 95.68%, demonstrating the feasibility of the research. This promising result showed could be utilized in other types of diseases and expand to more hospitals and medical organi potentially benefitting more people. <b>Keywords:</b> artificial intelligence; machine learning; X-ray; magnetic resonance imaging; Dete lung diseases classification; image recognition	oositive y 0.88% l that it zations, ectron2;	Limitations	Limited I which ma Limited ( compreh involving performa

election : Utilized Detectron2 for pneumothorax detection. Model choices ResNet and ResNeXt. ResNet models employed Feature Pyramid Network r COCO instance segmentation. ResNeXt model used X101-FPN. g : Training set consists of 784 pneumothorax images. Test run with various rations from R101-FPN baseline. Selected configuration: 26-1501, with 100,000 as, learning rate of 0.001, weight decay of 0.0001, batch size of 512. Decay steps 0k, 50k, 60k, 70k, 80k, 85k, and 95k iterations.

tations : Various augmentations applied during training, including random ess, contrast, rotation, and saturation adjustments to reduce overfitting.

sitive (%), False Negative (%), Accuracy (%), AP (%), AP50 (%), AP75 (%)

60 (COCO) sitive (%) - 1.33 egative (%) - 4.38 sy (%) - 94.29

t**101 (COCO)** sitive (%) - 0.88 egative (%) - 3.44 y (%) - 95.68

**Dataset** : The dataset used in the study was collected from a local hospital, ay not represent diverse populations or imaging protocols.

**Clinical Validation:** While the model shows promising results, there's a lack of nensive clinical validation in real-world settings. Further validation studies g radiologists and healthcare professionals are necessary to assess the model's ance and reliability in clinical practice

### Deep Learning Systems for Pneumothorax Detection on Chest Radiographs: A Multicenter External Validation Study

#### Radiology: Artificial Intelligence

ORIGINAL RESEARCH

Deep Learning Systems for Pneumothorax Detection on Chest Radiographs: A Multicenter External Validation Study

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\*Y.L.T. and D.N. contributed equally to this work.

Conflicts of interest are listed at the end of this article.

See also commentary by Jacobson and Krupinski in this issue.

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**Purpose:** To assess the generalizability of a deep learning pneumothorax detection model on datasets from multiple external institutions and examine patient and acquisition factors that might influence performance.

**Materials and Methods:** In this retrospective study, a deep learning model was trained for pneumothorax detection by merging two large open-source chest radiograph datasets: ChestX-ray14 and CheXpert. It was then tested on six external datasets from multiple independent institutions (labeled A–F) in a retrospective case-control design (data acquired between 2016 and 2019 from institutions A–E; institution F consisted of data from the MIMIC–CXR dataset). Performance on each dataset was evaluated by using area under the receiver operating characteristic curve (AUC) analysis, sensitivity, specificity, and positive and negative predictive values, with two radiologists in consensus being used as the reference standard. Patient and acquisition factors that influenced performance were analyzed.

**Results:** The AUCs for pneumothorax detection for external institutions A–F were 0.91 (95% CI: 0.88, 0.94), 0.97 (95% CI: 0.94, 0.99), 0.91 (95% CI: 0.85, 0.97), 0.98 (95% CI: 0.96, 1.0), 0.97 (95% CI: 0.95, 0.99), and 0.92 (95% CI: 0.90, 0.95), respectively, compared with the internal test AUC of 0.93 (95% CI: 0.92, 0.93). The model had lower performance for small compared with large pneumothoraces (AUC, 0.88 [95% CI: 0.85, 0.91] vs AUC, 0.96 [95% CI: 0.95, 0.97]; P = .005). Model performance was not different when a chest tube was present or absent on the radiographs (AUC, 0.95 [95% CI: 0.92, 0.97] vs AUC, 0.94 [95% CI: 0.92, 0.05]; P > .99).

**Condusion:** A deep learning model trained with a large volume of data on the task of pneumothorax detection was able to generalize well to multiple external datasets with patient demographics and technical parameters independent of the training data.

Supplemental material is available for this article.

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Architecture Inferences (Developed Solution)	<ul> <li>The (CN extra Atternet)</li> <li>Atternet:</li> <li>Lov pne</li> <li>No (AU)</li> </ul>
<b>Evaluation Metrics</b>	Sensitiv
Performance Scores (AUC)	Efficien Sensiti Specifie Positive Negativ F1 scor
	<b>Depend</b>

The research proposes usage of convolutional neural networks **NNs)** and recurrent neural networks **(RNNs)** used for feature traction and capturing spatial dependencies in segmentation tasks. **tention Mechanisms** were incorporated to focus on relevant gions during segmentation, enhancing accuracy. ower performance for detection of small compared with large neumothoraces **(AUC - 0.88 vs 0.96; P = 0.005)** o model performance influence due to presence of a chest tube **LUC - 0.95 [with tube] vs 0.94 [without tube]; P = 0.99)** 

ivity, Specificity, F1 Score, AUC, P Value

entNet B3 tivity (%) - 98 ficity (%) - 92 ve predictive value (%) - 80 tive predictive value (%) - 99 ore (%) - 88

ndency on large annotated datasets: The effectiveness of the sed architecture heavily relies on access to large and diverse ets for training. Computational complexity: Integrating both olutional Neural Networks (CNNs) and Recurrent Neural Networks s) increases the computational burden.

### Dataset: Source, Modality and Ethical Concerns

Source: Society for Imaging Informatics in Medicine (SIIM) Data Provided:

- DICOM Files (encapsulate patient data and image)
- CSV File (includes Run Length Encoded mask information)

Modality of Image: X-Ray (Greyscale)

Ethical Concerns: None, as it's a publicly available dataset

Missing Files: 42 files of Stage 1 & 2 train set don't have annotations

#### 1. Stage 1 and Stage 2 Training and Test Data

	Train	Test
Stage 1 & 2	12089	3205

#### 2. Stage 1 and Stage 2 Pneumothorax Distribution

	Pneumothorax	Non-Pneumothorax
Stage 1 & 2	2669(22.15%)	9378(77.84%)

#### 3. Stage 1 and Stage 2 Gender Distribution

	Male	Female
Stage 1 & 2	6626 (55.001)	5421 (44.998%)

#### 4. Stage 1 and Stage 2 View Position Distribution

	AP	PA	AP/PA
Stage 1 & 2	4773	7274	0.6562

Pneumothorax Incidence by Age and Gender





ŗ	Correlation Mat	rix: Age, Pneumo	thorax, and Sex	
Age	1.00	-0.03	-0.00	- 0.8
Pneumothorax	-0.03	1.00	0.01	- 0.6 - 0.4
Sex	-0.00	0.01	1.00	- 0.2
	Age	Pneumothorax	Sex	





### **Data Preprocessing**

Extract X-Ray images (.png) and patient metadata from DICOM files

Decode and generate Run Length Encoded (RLE) Masks

03

Merge multiple masks for the same file into a single mask

04

Perform augmentation techniques to reduce data imbalance by approximating 1:1 true positive and true negative instances













,1.2.276.0.7230010.3.1.4.8323329.12313.1517875238.510667,-1 ,1.2.276.0.7230010.3.1.4.8323329.14214.1517875250.261204,-1 ,1.2.276.0.7230010.3.1.4.8323329.2395.1517875172.526885,627825 7 1010 21 1001 26 996 28 994 29 994 29 993 31 991 32 990 1.2.276.0.7230010.3.1.4.8323329.3725.1517875179.163005,592973 1 1022 3 1020 5 1018 6 1017 7 1017 8 1015 9 1015 9 .1.2.276.0.7230010.3.1.4.8323329.5377.1517875187.826376,643239 4 1017 11 1012 14 1008 17 1006 19 1005 19 1004 20 1004 20









# Data Preprocessing: Radiographs, Segmentation Masks and Groundtruth



#### EXTRACTED IMAGE DICOM FILES



#### **DECODED MERGED MASK**

**580842 33 986 48 966 ...** 



#### **OVERLAYED IMAGE** Overlayed on Alpha Channels

### **Data Preprocessing : Dynamic Augmentation**













#### **Pure Augmentations**

- 1. Horizontal Flip
- 2. Random Brightness Contrast
- 3. Random Gamma
- 4. CLAHE (Contrast Limited Adaptive Histogram Equalization)
- 5. Optical Distortion
- 6. Shift Scale Rotate (± 15°)
- 7. Gaussian Noise

#### **Mixed Augmentations**

 Horizontal Flip + Random Brightness Contrast + Random Gamma/CLAHE/Optical Distortion/Gaussian Noise
 Shift Scale Rotate + Gaussian Noise
 CLAHE + Gaussian Noise/Random Gamma/Optical Distortion
 Random Gamma + Optical Distortion
 Random Brightness Contrast + Gaussian Noise
 Shift Scale Rotate + Random Gamma/Horizontal Flip/Gaussian Noise/Optical Distortion

### Methodology



### **Hyper Parameters**

Parameter	Value
Input size	512 x 512 x 3
Batch Size	8
Loss Function	Combination Loss of Dice and Binary Cross En
CNN Activation Functions	ReLU
Segmentation Head Activation Function	Sigmoid
Encoder Depth	5



### **Hyper Parameters**

Parameter	Value
Scheduler	Cosine Annealing with Warm Restarts (Iteratic Learning Rate on Plateau (Patience = 2, Thresh
Optimizer	Adam
Initial Learning Rate	1e-4
Kernel Size	3x3
Encoder Weights Initialization	ImageNet



### **Hyper Parameters - Learning Rate Schedulers**

### **Cosine** Annealing

### ReduceLROnPlateau



"Patience Value"

the learning rate

- Expected **better convergence** and thereby provide **better accuracy**
- However, the expected number of epochs are much higher for convergence!



**Reducing the learning rate** if the performance metric (**Validation IoU**) does not cross the **dynamic absolute threshold**.

"Patience Value" waits for a certain number of epochs before reducing

# **Dice Loss**

**Region Based Loss** 

It is a **measure of the dissimilarity** between the **predicted segmentation** and the **true** segmentation of an image.

Dice Loss is particularly useful when dealing with **imbalanced datasets**, where the positive and negative classes are not evenly distributed. Since Dice Loss takes into account both the predicted and true segmentation values, it can help prevent the model from favouring one class over the other.

2 X Area of overlap Dice =Total area



### Loss = 1 - Dice

# **Binary Cross Entropy Loss**

### **Distribution Based Loss**

Binary cross entropy measures the **difference** between the predicted probabilities and the true labels, penalizing incorrect predictions more heavily as the confidence in the prediction increases.

This loss function is particularly useful in scenarios where the classes are imbalanced, as it can help the model learn to make better predictions for the minority class.

$$H_p(q) = -\frac{1}{N} \sum_{i=1}^{N}$$

#### $y_i \cdot log(p(y_i)) + (1 - y_i) \cdot log(1 - p(y_i))$

# Weighted Combo Loss

### Penalise both Regions and Edges

Combo loss is defined as a weighted sum of Dice Loss and Binary Cross-Entropy. It attempts to leverage the flexibility of Dice Loss of class imbalance and at the same time use Cross-Entropy for curve smoothing.

It's defined as:

$$L_{m-bce} = -\frac{1}{N} \sum_{i} \beta(y - \log(\hat{y})) + (1 - \beta)(1 - y)\log(1 - \hat{y})$$
(17)

$$CL(y,\hat{y}) = \alpha L_{m-bce} - (1-\alpha)DL(y,\hat{y})$$
(18)

Here DL is Dice Loss.

#### $Loss = 1 \times Dice + 0.5 \times BCE$

# **Performance Metrics**

2 x

### Dice = $\frac{2 X Area of overlap}{2}$ Total area













Image











Ground Truth Mask



Predicted Mask





Ground Truth Mask



Predicted Mask







Core Architecture	Encoder	Scheduler	Optimizer	Initial LR	Те
UNet	EfficientNet-B4	Reduce LR on Plateau	Adam	0.0001	0.7



st DSC	
600	

Core Architecture	Encoder	Scheduler	Optimizer	Initial LR
UNet	SE-ResNeXt101	Reduce LR on Plateau	Adam	0.0001



Core Architecture	Encoder	Scheduler	Optimizer	Initial LR	Test
Unet	SE-ResNeXt101	Reduce LR on Plateau	Adam	0.0001	0.75€



## **Computational Challenges**

- Loading the entire UNet Model for training takes 12.67 GiB for a batch size of 8 images for **512x512 images**
- Need a CUDA compute score of over 8.6 to achieve 1.2s/it to complete the epoch within 30 minutes
- Choose to use the ampere architecture for its power efficiency and performance
- RTX A4000 for its batch size per dollar.
- Gradient Plan gives us a limited amount of 6 hours per GPU instance
- Limited pool of available GPUs with high wait times







Relative Largest Training Batch Size Per \$ w.r.t 1xV100 32GB (All Models)

credits: Lamdba Labs 2023 Benchmark

# Challenges

- Images were downsized from **1024 x 1024 to 512 x 512**, and hence the new images became **1/4th** of the original.
- This poses as a challenge in terms of **information loss** of the delicate thin lines that mark the boundaries of a collapsed lung.
- Predicted masks were then **upscaled** from 512 x 512 to 1024 x 1024, thereby **adding some form of new information**.
- Higher dice coefficient score could have been achieved if original images were used.



(a)



\*Airway, Breathing, Circulation, Disability, and Exposure

## **Future Scope**

- Apply a **Vision Transformer** approach for better **Global Feature Extraction** with access to better compute
- Increase data samples through integration with CheXpert Dataset
- Larger Batch Size
- Semi-Supervision Model
- Voting Classifiers (Ensemble Models)
- Cumulative Thresholding (2 or 3)



Abedalla, A., Abdullah, M., Al-Ayyoub, M., & Benkhelifa, E. (2021). Chest X-ray pneumothorax segmentation using U-Net with EfficientNet and ResNet architectures. PeerJ. Computer science, 7, e607. https://doi.org/10.7717/peerj-cs.607



# THANK YOU

Amog Rao Ananya Shukla Nikhil Henry

